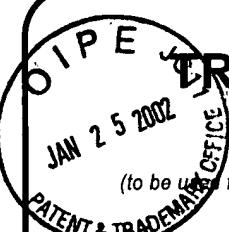


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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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 TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 09/683,359 Filing Date December 18, 2001 First Named Inventor Ludwig Ceshkovsky Group Art Unit 2652 Examiner Name Unknown Attorney Docket Number 98109001(US)US DSCO
Total Number of Pages in This Submission		

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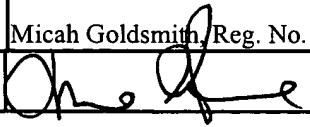
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
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Firm or Individual name	DISCOVISION ASSOCIATES -- Intellectual Property Development 2355 Main Street, Suite 200, Irvine, CA 92614 -- U.S.A. -- Tel: (949) 660-5000
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Date	

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Typed or printed name	Micah Goldsmith, Reg. No. 43,638		
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Information Disclosure Statement
Confirmation No.: 3953
USSN: 09/683,359
Filed: December 18, 2001
Inventor: Ludwig Ceshkovsky
Title: HIGH-DENSITY OPTICAL PICKUP FOR ROTATING MEDIA
Atty Docket No.: 98109001(US)US DSCO

Siri:

Enclosed for filing please find the following:

1. Transmittal Form
2. Fee Transmittal for FY 2002;
3. Communication - Information Disclosure Statement;
4. Form PTO - 1449;
5. Cover Letter;
6. Return Receipt Postcard, Control no. 3BBRB3; and
7. Certificate of First Class Mailing, dated January 4, 2002.

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

Charles

Micah Goldsmith, Reg. No. 43,638
Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

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Enclosures

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 FREE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td>09/683,359</td> <td style="text-align: right;">RECEIVED</td> </tr> <tr> <td>Filing Date</td> <td>December 18, 2001</td> <td style="text-align: right;">FEB 15 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Ludwig Ceshkovsky</td> <td style="text-align: right;">Technology Center 2600</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> <td></td> </tr> <tr> <td>Group Art Unit</td> <td>2652</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>98109001(US)US DSCO</td> <td></td> </tr> </table>		Application Number	09/683,359	RECEIVED	Filing Date	December 18, 2001	FEB 15 2002	First Named Inventor	Ludwig Ceshkovsky	Technology Center 2600	Examiner Name	Unknown		Group Art Unit	2652		Attorney Docket No.	98109001(US)US DSCO																																																																																																																																															
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ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee \$</th> <th>Fee Code</th> <th>Fee \$</th> <th></th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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Name Printed/Typed	Micah Goldsmith	Registration No. Attorney / Agent	43,638																																																																																																																																																																
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Ludwig Ceshkovsky

Filed: December 18, 2001

Serial No.: 09/683,359

For: HIGH-DENSITY OPTICAL PICKUP
FOR ROTATING MEDIA

Confirmation No.: 3953

Art Unit: 2652

Anticipated Examiner: Unknown

Attorney Docket Number:
98109001(US)US DSCO

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

In complying with the duty of disclosure set forth in 37 CFR 1.56, Applicant identifies the patents and publications as listed on the attached Form PTO-1449.

Applicant kindly requests that the Examiner review the identified references and enter each as a matter of record herein. Applicant further requests that a signed and initialed copy of the Form PTO-1449 be returned to Applicant's mailing address.

02/13/2002 SLUANG1 00000026 041175 09683359

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The Commissioner is hereby authorized to charge payment of any fees associated with this Communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,

DISCOVISION ASSOCIATES



Date: January 4, 2002

Micah Goldsmith
Registration No. 43,638

DISCOVISION ASSOCIATES
INTELLECTUAL PROPERTY DEVELOPMENT
P.O. Box 19616
Irvine, California 92623
(949) 660-5000

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On: January 04, 2002

Calleen A. Smothers

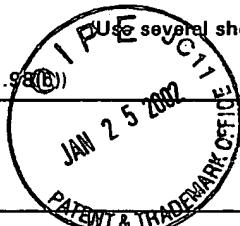
(Type or print name)



Calleen A. Smothers

(Signature)

Form PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 98109001(US)US DSCO	SERIAL NO. 09/683,359
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(37 CFR 1.96(b))</i>		APPLICANT Ludwig Ceshkovsky	
		FILING DATE December 18, 2001	GROUP 2652



U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,446,565	8/29/95	Komma et al.			
AB	5,854,780	12/29/98	Opheij et al.			
AC	5,883,873	3/16/99	Morita			
AD	5,883,874	3/16/99	Choi			
AE	5,886,970	3/23/99	Tachibana et al.			
AF	5,889,749	3/30/99	Katsuma et al.			
AG	5,892,744	4/6/1999	Ohba			
AH	5,901,131	5/4/99	Ootaki et al			
AI	5,905,707	5/18/99	Ju et al.			
AJ	5,905,708	5/18/99	Richter			
AK	5,920,537	7/6/99	Komma et al			
AL	5,923,626	7/13/99	Richter			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
	F01						
	F02						
	F03						

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

P01	
P02	
P03	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.